Department of Energy

individual cases, if (ii) and (iii) cannot be equally accommodated, greater weight will be given to encouragement of research and development.

(2) Prices and charges for materials and services sold pursuant to 42 U.S.C. 2201 shall be either the full cost recovery price or the commercial price, whichever is higher, except that lower prices and charges may be established by the Department if it is determined that such lower prices and charges will provide reasonable compensation to the Government and will not discourage the development of sources of supply independent of the DOE of such material.

§ 1009.4 Exclusions.

This part shall not apply when the amount to be priced or charged is otherwise provided for by statute, Executive Order, or regulations. This part does not apply to:

- (a) Fees, penalties and fines established by the Economic Regulatory Administration of DOE.
- (b) Power marketing and related activities of the Alaska Power Administration, the Bonneville Power Administration, the Southeastern Power Administration, the Southwestern Power Administration, and the Western Power Administration.
- (c) Crude oil, natural gas and other petroleum products and services by or from the Naval Petroleum and Oil Shale Reserves.
- (d) Uranium enriching services, source material, and special nuclear material.
- (e) Requests for information under the Freedom of Information Act and the Privacy Act.
- (f) Energy data and information provided by the Energy Information Administration.
- (g) Crude oil and related materials and services from the Strategic Petroleum Reserve.
- (h) The disposal of excess and surplus property.
- (i) Access permits for uranium enrichment technology issued in accordance with 10 CFR part 725.
- (j) Materials and services provided pursuant to a cooperative agreement,

research assistance contract or grant, or made available to a DOE contractor in connection with a contract, the primary purpose of which is to procure materials or services for DOE.

§ 1009.5 Supersessions.

Prices which appear in FEDERAL REGISTER Notices previously published by the Department, or its predecessor agencies, for materials and services covered by this rule are hereby superseded.

§ 1009.6 Dissemination of prices and charges.

Current prices and charges for specific materials and services are available from the DOE laboratory or office providing the material or service, or from the responsible program office. If this office cannot be determined, inquiries regarding the appropriate contact office should be addressed to the Office, of Finance and Accounting, Product Accounting and Pricing Branch, Mail Station 4A-139, 1000 Independence Avenue, SW., Washington, DC 20585.

PART 1010—CONDUCT OF EMPLOYEES

Sec.

1010.101 General.

1010.102 Cross-references to employee ethical conduct standards, financial disclosure regulations, and other conduct rules.

1010.103 Reporting wrongdoing.

1010.104 Cooperation with the Inspector General.

AUTHORITY: 5 U.S.C. 301, 303, 7301; 5 U.S.C. App. (Inspector General Act of 1978); 18 U.S.C. 208; E.O. 12674, 54 FR 15159, 3 CFR, 1989 Comp., p. 215, as modified by E.O. 12731, 55 FR 42547, 3 CFR, 1990 Comp., p. 306.

SOURCE: $61\ FR\ 35088$, July 5, 1996, unless otherwise noted.

§1010.101 General.

This part applies to employees of the Department of Energy (DOE), excluding employees of the Federal Energy Regulatory Commission.

§ 1010.102

§ 1010.102 Cross-references to ployee ethical conduct standards, financial disclosure regulations, and other conduct rules.

Employees of DOE are subject to the Standards of Ethical Conduct for Employees of the Executive Branch at 5 CFR part 2635, the DOE regulation at 5 CFR part 3301 which supplements the executive branch-wide standards, the executive branch-wide financial disclosure regulations at 5 CFR part 2634, the executive branch-wide financial interests regulations at 5 CFR part 2640, and the executive branch-wide employee responsibilities and conduct regulation at 5 CFR part 735.

[61 FR 35088, July 5, 1996, as amended at 63 FR 30111, June 3, 1998]

§ 1010.103 Reporting wrongdoing.

(a) Employees shall, in fulfilling the obligation of 5 CFR 2635.101(b)(11), report fraud, waste, abuse, and corruption in DOE programs, including on the part of DOE employees, contractors, subcontractors, grantees, or other recipients of DOE financial assistance, to the Office of Inspector General or other appropriate Federal authority.

(b) All alleged violations of the ethical restrictions described in section 1010.102 that are reported in accordance with (a) of this section to an appropriate authority within the Department shall in turn be referred by that authority to the designated agency ethics official or his delegatee, or the Inspector General.

§1010.104 Cooperation with the Inspector General.

Employees shall respond to questions truthfully under oath when required, whether orally or in writing, and must provide documents and other materials concerning matters of official interest. An employee is not required to respond to such official inquiries if answers or testimony may subject the employee to criminal prosecution.

PART 1013—PROGRAM FRAUD CIVIL REMEDIES AND PROCEDURES

1013.1 Basis and purpose.

1013.2 Definitions.

1013.3 Basis for civil penalties and assessments.

1013.4 Investigation.

1013.5 Review by the reviewing official.

1013.6 Prerequisites for issuing a complaint.

1013 7 Complaint.

1013.8 Service of complaint.

1013.9 Answer

1013.10 Default upon failure to file an answer.

1013.11 Referral of complaint and answer to the ALJ.

1013.12 Notice of hearing.

1013.13 Parties to the hearing. 1013.14

Separation of functions. 1013.15 Ex parte contacts.

Disqualification of reviewing official 1013.16

or ALJ. 1013.17 Rights of parties.

Authority of the ALJ. 1013.18

Prehearing conferences. 1013 19

1013.20 Disclosure of documents.

Discovery. 1013.21

Exchange of witness lists, state-1013.22 ments and exhibits.

1013.23 Subpoenas for attendance at hearing.

1013.24 Protective order.

1013.25 Witness fees.

Form, filing and service of papers. 1013.26

1013.27 Computation of time.

1013.28 Motions.

1013 29 Sanctions

The hearing and burden of proof. 1013.30 1013.31 Determining the amount of penalties

and assessments 1013.32 Location of hearing.

1013.33 Witnesses.

1013.34 Evidence.

1013.35 The record.

1013.36 Post-hearing briefs.

1013 37 Initial decision

Reconsideration of initial decision. 1013.38

Appeal to authority head. 1013 39

1013.40 Stays ordered by the Department of Justice

1013.41 Stay pending appeal. 1013.42 Judicial review.

1013.43 Collection of civil penalties and assessments

1013.44 Right to administrative offset. 1013.45 Deposit in Treasury of United States.

1013.46 Compromise or settlement.

1013.47 Limitations.

AUTHORITY: 31 U.S.C. 3801-3812; 28 U.S.C. 2461 note.

SOURCE: 53 FR 44385, Nov. 3, 1988, unless otherwise noted.

§ 1013.1 Basis and purpose.

(a) Basis. This part implements the Program Fraud Civil Remedies Act of 1986, Pub. L. 99-509, sections 6101-6104, 100 Stat. 1874 (October 21, 1986), codified